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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Patent Number	6,573,099	
	Issued Date	June 3, 2003	
	First Named Inventor	Michael Wayne GRAHAM	
	Group Art Unit	ALLOWED	
	Primary Examiner	S. Priebe	
Total Number Of Pages In This Submission	20	Attorney Docket No.	546322000300

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ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form - 1 pg IN DUPL	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input checked="" type="checkbox"/> Petition - TO CORRECT INVENTORSHIP Under 37 CFR 1.324(b)(3) - Consent by Assignees: Benitec and State of Queensland - 6 pgs AND Petition - TO CORRECT INVENTORSHIP UNDER 37 CFR 1.324(b)(3) - Consent by Inventors: M. W. Graham and R. N. RICE - 4 pgs	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request (2 mos.) - 1 pg	<input checked="" type="checkbox"/> Power of Attorney - 3 pgs	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input checked="" type="checkbox"/> Statement Under 37 CFR §3.73(b) - 1 pg	1. Courtesy copies of Assignment documents as filed 7/10/03 - 3 pgs
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/>	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Morrison & Foerster LLP 425 Market Street, San Francisco, CA 94105-2842 Michael R. Ward (Reg. No. 38,851)
Signature	Michael R. Ward
Date	July 10, 2003

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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EV329308391US

(CHRISTINE)

Inventors: Graham et al.  
U.S. Patent No.: 6,573,099 C1  
Issued: June 3, 2003  
Exhibit D



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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL FOR FY 2002

Patent fees are subject to annual revision.

## Complete if Known

Patent Number	6,573,099
Issue Date	June 3, 2003
First Named Inventor	Michael Wayne GRAHAM
Examiner Name	S. Kaushal
Group Art Unit	ALLOWED
Attorney Docket No.	546322000300

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TOTAL AMOUNT OF PAYMENT

(\$130.00)

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Deposit  
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Name

Morrison & Foerster LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	750	201	375	Utility filing fee	
1002	330	206	165	Design filing fee	
1003	510	207	255	Plant filing fee	
1004	740	208	370	Reissue filing fee	
1005	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)-0-

### 2. EXTRA CLAIM FEES

Total Claims	- 20** =	Extra Claims	x	Fee from below	= \$
Independent Claims	- 3** =	*	x		= \$
Multiple Dependent					= \$

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
1202	16	203	0	Claims in excess of 20
1201	84	202	42	Independent claims in excess of 3
1203	280	204	140	Multiple dependent claims, if not paid
1204	84	209	42	**Reissue independent claims over original patent
1205	16	210	0	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)-0-

\*\* or number previously paid, if greater; For reissues, see above.

## FEE CALCULATION (continued)

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1051	130	205	65	Surcharge - late filing fee or oath	
1052	50	227	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	139	130	Non-English specification	
1812	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	112	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
1251	110	215	55	Extension for reply within first month	
1252	410	216	205	Extension for reply within second month	
1253	920	217	460	Extension for reply within third month	
1254	1,440	218	720	Extension for reply within fourth month	
1255	1,960	228	980	Extension for reply within fifth month	
1401	320	219	160	Notice of Appeal	
1402	320	220	160	Filing a brief in support of an appeal	
1403	280	221	140	Request for oral hearing	
1451	1,510	138	1,510	Petition to institute a public use proceeding	
1452	110	240	55	Petition to revive - unavoidable	
1453	1,280	241	640	Petition to revive - unintentional	
1501	1,280	242	640	Utility issue fee (or reissue)	
1502	460	243	230	Design issue fee	
1503	620	244	310	Plant issue fee	
1460	130	122	130	Petitions of the Commissioner	
1807	50	123	50	Petitions related to provisional applications	
1808	180	126	180	Submission of Information Disclosure Sheet	
8021	40	581	40	Recording each patent assignment per properties (times number of properties)	
1809	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	279	375	Request for Continued Examination (RCE)	
1802	900	169	900	Request for expedited examination of a design application	

Other fee (specify) FEE PURSUANT TO 1.20(b) \$130

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$130.00)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Michael R. Ward	Registration No. (Attorney/Agent)	(38,651)	Telephone	(415) 268-6237
Signature	<i>Michael R. Ward</i>	Date	July 10, 2003		

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sf-1521754



PATENT  
Docket No. 546322000300

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OFFICE OF PETITIONS

In the application of:

Michael W. GRAHAM and Robert N.  
RICE

Patent No.: 6,573,099

Issue Date: June 3, 2003

For: GENETIC CONSTRUCTS FOR  
DELAYING OR REPRESSING THE  
EXPRESSION OF A TARGET GENE

Examiner: S. D. Priebe

Group Art Unit: GRANTED

**POWER OF ATTORNEY AND PROSECUTION BY ASSIGNEE  
UNDER 37 C.F.R. § 3.71**

Commissioner for Patents  
P.O. BOX 1450  
Alexandria, VA 22313-1450

Dear Sir:

**BENITEC AUSTRALIA LIMITED and STATE OF QUEENSLAND**  
**THROUGH ITS DEPARTMENT OF PRIMARY INDUSTRIES**, the assignee of the  
entire right, title and interest in this patent application, hereby revokes all Powers of Attorney  
previously granted relating to this application and appoint as its attorneys or agents, with full  
power of substitution, association, and revocation, to prosecute this application and to  
transact all business in the United States Patent and Trademark Office connected herewith:

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Mehran Arjomand (Reg No. 48,231)  
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 Jie Zhou (Reg No. 52,395)

all of Morrison & Foerster LLP, 425 Market Street, San Francisco, California 94105-2482, telephone: (415) 268-7000, said appointment to be to the exclusion of the inventors and their attorneys in accordance with the provisions of 37 C.F.R. § 3.71 provided that if any one of said attorneys or agents ceases being affiliated with the law firm of Morrison & Foerster LLP as partner, employee or of counsel, such attorney's or agent's appointment as attorney or agent and all powers derived therefrom shall terminate on the date such attorney or agent ceases being so affiliated.

Please direct all communications relative to this application to:

Michael R. Ward  
 Morrison & Foerster LLP  
 425 Market Street  
 San Francisco, California 94105-2482

Please direct all telephone communications to Michael R. Ward at (415) 268-6237.



JUL 10 2003

## STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Michael W. GRAHAM and Robert Norman RICE

Application No./Patent No.: 6,573,099

Filed/Issue Date: June 3, 2003

Inventor: GENETIC CONSTRUCTS FOR DELAYING OR REPRESSING THE EXPRESSION OF A TARGET GENE

BENITEC AUSTRALIA LTD., an Australian corporation and STATE OF QUEENSLAND THROUGH ITS DEPARTMENT OF PRIMARY INDUSTRIES

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignees of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_%

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
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[ ] Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents (as filed) in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

7/10/03  
Date

MICHAEL R. WARD (Reg. No. 38,651)

Michael R. Ward

Signature

Title: Attorney for Assignees

Docket No: 546322000300



**MORRISON & FOERSTER LLP**

Attorneys at Law  
425 Market Street  
San Francisco, California 94105-2482  
Telephone: (415) 268-6237  
Facsimile: (415) 268-7522

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**"RECORD ASSIGNMENT DOCUMENTS"**

**Atty Docket No: 546322000300**

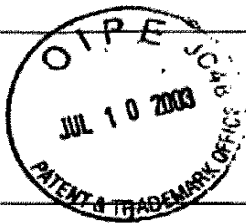
**Re: Patent No. 6,573,099 issued June 3, 2003**

**Inventors: Michael W. GRAHAM and Robert N. RICE**

**ATTACHED:**

- 1) Recordation Form Cover Sheet - 1 pg**
- 2) Assignment (executed document) - 1 pg**
- 3) \$40 FEE AUTHORIZED TO BE CHARGED TO DEPOSIT ACCOUNT**

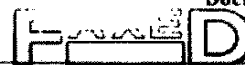
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RECORDATION FORM COVER SHEET  
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Patent and Trademark Office  
Docket No. 546322000300



To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<b>1. Name of conveying party(ies):</b> Michael Wayne GRAHAM Robert Norman RICE		<b>2. Name and address of receiving party(ies):</b>  Name: BENITEC AUSTRALIA LIMITED Internal Address: P.O. BOX 4193 St. Lucia South, QLD 4067 AUSTRALIA  and  Name: STATE OF QUEENSLAND THROUGH ITS DEPARTMENT OF PRIMARY INDUSTRIES Internal Address: Primary Industries Bldg., 80 Ann Street, Brisbane, Queensland 4000 AUSTRALIA	
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>3. Nature of conveyance:</b>  <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: June 30, 2003 and June 17, 2003, respectively			
<b>4. Application number(s) or patent number(s):</b>  If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) B. Patent No.(s) 6,573,099 issued June 3, 2003  Additional numbers attached? * <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>5. Name and address of party to whom correspondence concerning document should be mailed:</b>  Michael R. Ward Morrison & Foerster LLP 425 Market Street San Francisco, California 94105-2482		<b>6. Total number of applications and patents involved: 1</b>  <b>7. Total fee (37 C.F.R. § 3.41): \$40.00</b>  <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account, referencing Attorney Docket 546322000300	
		<b>8. Deposit account number: 03-1952</b>	

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.21 that may be required by this paper, or to credit any overpayment to Deposit Account No. 03-1952.

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<b>9. Statement and signature.</b>  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  Name: Michael R. Ward Registration No: (38,651)		 Signature	<u>7/09/03</u> Date
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Total number of pages comprising cover sheet, attachments and document: 2

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P.O. BOX 1450  
Alexandria, VA 22313-1450



ASSIGNMENT  
JOINT

THIS ASSIGNMENT, by MICHAEL WAYNE GRAHAM and ROBERT NORMAN RICE (hereinafter referred to as the assignors), residing at 12 Lant Street, Chapel Hill, Queensland, Australia 4069, and 39 Foley Place, Sinnamon Park, Queensland, Australia 4106, respectively, witnesseth:

WHEREAS, said assignors have invented certain new and useful improvements in GENETIC CONSTRUCTS FOR DELAYING OR REPRESSING THE EXPRESSION OF A TARGET GENE, set forth Letters Patent of the United States, bearing Patent No. 6,573,099, and issued on June 3, 2003; and

WHEREAS, BENITEC AUSTRALIA LIMITED, a corporation duly organized under and pursuant to the laws of Australia and having its principal place of business at P.O. Box 4193, St. Lucia South, QLD 4067 Australia, and the STATE OF QUEENSLAND THROUGH ITS DEPARTMENT OF PRIMARY INDUSTRIES, and having its principle place of business at Primary Industries Bldg., 80 Ann Street, Brisbane Queensland 4000 Australia (hereinafter referred to as the Assignees) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto said Assignees, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said Assignees, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said Assignees its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said Assignees, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said Assignees, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said Assignees, its successors, legal representatives and assigns, but at the cost and expense of said Assignees, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said Assignees as the Assignees of said inventions and the Letters Patent to be issued thereon for the sole use of said Assignees, its successors, legal representatives and assigns.

Date

20/6/03

Michael Wayne GRAHAM

Date

17/6/03

Robert Norman RICE

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JUL 15 2003

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PATENT

Docket No. 546322000300

## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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CHRISTINE JUMOC

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Michael Wayne Graham and Robert  
Norman Rice

Primary Examiner: S. Priebe

ALLOWED

U.S. Patent No: 6,573,099

Filing Date: June 19, 1998

Issue Date: June 3, 2003

For: GENETIC CONSTRUCTS FOR  
DELAYING OR REPRESSING THE  
EXPRESSION OF A TARGET GENE

**PETITION TO CORRECT INVENTORSHIP UNDER 37 CFR 1.324(b)(3) -  
CONSENT OF ASSIGNEE**

**MAIL STOP: PETITIONS**  
Commissioner for Patents  
P.O. BOX 1450  
Alexandria, VA 22313-1450

Dear Sir:

**BENITEC AUSTRALIA LIMITED** and the **STATE OF QUEENSLAND**

**THROUGH ITS DEPARTMENT OF PRIMARY INDUSTRIES**, the assignees of the entire right, title and interest in this patent application, hereby agree to the addition of **ROBERT NORMAN RICE** as an inventor in the above named application. These inventorship errors were made without deceptive intent. Under the present circumstances it is clear that **MICHAEL**

7/15/2003 AWOHDAF1 00000140 031952 6573099

FC:1460

130.00 DA

WAYNE GRAHAM and ROBERT NORMAN RICE are the true inventors in present application.

I, JOHN A. MCKINLEY, have authority to act on behalf of **BENITEC AUSTRALIA LIMITED.**

I, WARREN HOEY, have authority to act on behalf of **STATE OF QUEENSLAND THROUGH ITS DEPARTMENT OF PRIMARY INDUSTRIES.**

All statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

**BENITEC AUSTRALIA LIMITED**  
an Australian corporation

Dated: 17<sup>th</sup> June '03, 2003



Name: John A. McKinley  
Title: Executive Chairman and CEO  
Address: P.O. BOX 4193  
St. Lucia South, QLD 4067 AUSTRALIA

**STATE OF QUEENSLAND THROUGH ITS  
DEPARTMENT OF PRIMARY INDUSTRIES**

Dated: \_\_\_\_\_, 2003

Name: Warren Hoey  
Title: Director General  
Address: 80 Ann Street  
Primary Industries Building  
Brisbane, Queensland AUSTRALIA 4067



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OFFICE OF PETITIONS

PATENT

Docket No. 546322000300

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Commissioner for Patents, MAIL STOP: PETITIONS, P.O. BOX 1450, Alexandria, VA 22313-1450.

CHRISTINE JUMOC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Michael Wayne Graham and Robert  
Norman Rice

U.S. Patent No: 6,573,099

Filing Date: June 19, 1998

Issue Date: June 3, 2003

For: GENETIC CONSTRUCTS FOR  
DELAYING OR REPRESSING THE  
EXPRESSION OF A TARGET GENE

Primary Examiner: S. Priebe

ALLOWED

PETITION TO CORRECT INVENTORSHIP UNDER 37 CFR 1.324(b)(3) -  
CONSENT OF ASSIGNEE

Commissioner for Patents  
P.O. BOX 1450  
Alexandria, VA 22313-1450

Dear Sir:

**BENITEC AUSTRALIA LIMITED** and the **STATE OF QUEENSLAND**  
**THROUGH ITS DEPARTMENT OF PRIMARY INDUSTRIES**, the assignees of the entire  
right, title and interest in this patent application, hereby agree to the addition of **ROBERT**  
**NORMAN RICE** as an inventor in the above named application. These inventorship errors were  
made without deceptive intent. Under the present circumstances it is clear that **MICHAEL**

WAYNE GRAHAM and ROBERT NORMAN RICE are the true inventors in present application.

I, JOHN A. MCKINLEY, have authority to act on behalf of **BENITEC AUSTRALIA LIMITED.**

I, WARREN HOEY, have authority to act on behalf of **STATE OF QUEENSLAND THROUGH ITS DEPARTMENT OF PRIMARY INDUSTRIES.**

All statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

**BENITEC AUSTRALIA LIMITED**  
an Australian corporation

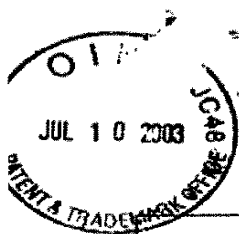
Dated: \_\_\_\_\_, 2003 \_\_\_\_\_

Name: John A. McKinley  
Title: Executive Chairman and CEO  
Address: P.O. BOX 4193  
St. Lucia South, QLD 4067 AUSTRALIA

**STATE OF QUEENSLAND THROUGH ITS  
DEPARTMENT OF PRIMARY INDUSTRIES**

Dated: 25 June 2003, 2003 \_\_\_\_\_ 

Name: Warren Hoey  
Title: Director General  
Address: 80 Ann Street  
Primary Industries Building  
Brisbane, Queensland AUSTRALIA 4067



PATENT  
Docket No. 546322000300

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CHRISTINE JUMOC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Michael Wayne Graham and Robert  
Norman Rice

U.S. Patent No. 6,573,099

Filing Date: June 19, 1998

Issue Date: June 3, 2003

For: GENETIC CONSTRUCTS FOR  
DELAYING OR REPRESSING THE  
EXPRESSION OF A TARGET GENE

Primary Examiner: S. Priebe

ALLOWED

PETITION TO CORRECT INVENTORSHIP UNDER 37 CFR 1.324(b)(2) -  
CONSENT OF INVENTOR

MAIL STOP: PETITIONS  
Commissioner for Patents  
P.O. BOX 1450  
Alexandria, VA 22313-1450

Dear Sir:

I, MICHAEL WAYNE GRAHAM, am an inventor of U.S. Patent No. 6,573,099 entitled "Genetic Constructs for Delaying or Repressing the Expression of a Target Gene," filed on June 19, 1998 and issued on June 3, 2003.


ROBERT NORMAN RICE was omitted as an inventor in this patent. Under the present circumstances, it is clear that MICHAEL WAYNE GRAHAM and ROBERT NORMAN RICE are the true inventors in the present U.S. Patent. I, MICHAEL WAYNE GRAHAM, agree to the change in inventorship.



are the true inventors in the present U.S. Patent. I, MICHAEL WAYNE GRAHAM, agree to the change in inventorship.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

20/6/03  
Date

  
\_\_\_\_\_  
MICHAEL WAYNE GRAHAM



PATENT  
Docket No. 546322000300

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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**CHRISTINE JUMOC**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

**Michael Wayne Graham and Robert  
Norman Rice**

**U.S. Patent No. 6,573,099**

**Filing Date: June 19, 1998**

**Issue Date: June 3, 2003**

**For: GENETIC CONSTRUCTS FOR  
DELAYING OR REPRESSING THE  
EXPRESSION OF A TARGET GENE**

**Primary Examiner: S. Priebe**

**ALLOWED**

**PETITION TO CORRECT INVENTORSHIP UNDER 37 CFR 1.324(b)(1) -  
CONSENT OF INVENTOR**

**MAIL STOP: PETITIONS**

**Commissioner for Patents  
P.O. BOX 1450  
Alexandria, VA 22313-1450**

Dear Sir:

I, ROBERT NORMAN RICE, am an inventor of U.S. Patent No. 6,573,099 entitled "Genetic Constructs for Delaying or Repressing the Expression of a Target Gene," filed on June 19, 1998 and issued on June 3, 2003.

I was omitted as an inventor in the original application. Under the present circumstances, it is clear that MICHAEL WAYNE GRAHAM and ROBERT NORMAN RICE are the true inventors in the present U.S. Patent. The inventorship error occurred without any deceptive intention on my part.

inventors in the present U.S. Patent. The inventorship error occurred without any deceptive intention on my part.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

17/6/03.  
Date

*Robert Norman Rice*

ROBERT NORMAN RICE